



04-30-03

3739

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/905,715	
	Filing Date	May 04, 2001	
	First Named Inventor	Steven M. Rothman	
	Group Art Unit	3739	
	Examiner Name	R. Gibson	
Total Number of Pages in This Submission	14	Attorney Docket Number	1908-95650

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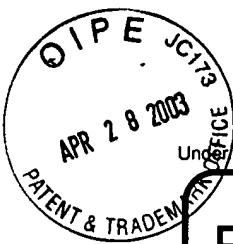
TECHNOLOGY CENTER R3700

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits / declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Fee Transmittal Form
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Affidavit under 37 CFR 1.132
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Affidavit under 37 CFR 1.131
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Leana Levin, Reg. No. 51,939
Signature	Leana Levin
Date	April 28, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 28, 2003			
Typed or printed name	Leana Levin		
Signature	Leana Levin	Date	April 28, 2003

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PTO/SB/17 (2/98)
Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL

Patent fees are *subject* to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See *Forms PTO/SB/09-12*
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

55

Complete if Known

Application Number	09/905,715
Filing Date	May 04, 2001
First Named Inventor	Steven M. Rothman
Examiner Name	R. Gibson
Group /Art Unit	3739
Attorney Docket No.	1908-95650

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TECHNOLOGY CENTER R3700

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Number
Deposit
Account
Name

50-1572

Blumenfeld, Kaplan & Sandweiss, P.C.

☐ Charge Any Additional
Fee Required Under
37 C.F.R. §§ 1.16 and 1.17

☐ Charge the Issue Fee Set in
37 C.F.R. § 1.18 at the Mailing
of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790 201 395		Utility filing fee	0
106 330 206 165		Design filing fee	0
107 540 207 270		Plant filing fee	0
108 790 208 395		Reissue filing fee	0
114 150 214 75		Provisional filing fee	0

SUBTOTAL (1) (\$)

0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	X 0 = 0	0
0	-3** = 0	X 0 = 0	0
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22 203 11		Claims in excess of 20
102 82 202 41		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 82 209 41**		Reissue independent claims over original patent
110 22 210 11**		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet.	
139 130 139 130		Non-English Specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	55
116 400 216 200		Extension for reply within second month	
117 950 217 475		Extension for reply within third month	
118 1,510 218 755		Extension for reply within fourth month	
128 2,060 228 1,030		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,320 241 660		Petition to revive - unintentional	
142 1,320 242 660		Utility issue fee (or reissue)	
143 450 243 225		Design issue fee	
144 670 244 335		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 790 246 395		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790 249 395		For each additional invention to be examined (37 CFR 1.1 29(b))	

Other fee (specify) _____

Other fee (specify) _____

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

55

SUBMITTED BY

Typed or
Printed Name

Leana Levin

Signature

Leana Levin

Date

4/28/03

Complete (if applicable)

Reg. Number

51,939

Deposit Account
User ID

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0610

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VENDOR NO. 667904 PRINTED 05/22/97

TO: MELCOR THERMOELECTRIC

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 TRENTON NJ 08648

-----SHIP TO----- SHIPPING INSTRUCTIONS
 WASHINGTON U - NEUROLOGY () ALLW/PRPD
 4942 PARKVIEW-CH HOSP () DEST/SHIP
 ST. LOUIS MO 63110 (N) TRANSMIT
 DR STEVEN ROTHMAN/12-E-25 (N) CATALOG

ITEM	QUAN	UNIT	DESCRIPTION	UNIT PRICE	COST	CL	DEPT	B/O/SR	FUND
1	3	EA	FCO 45-7 051 FC SENES THERMOELECTRIC 3.4MM X 3.4MM X 2.4MM	15.25	45.75	22	3259	3415	64824

PO TOTAL IS 45.75

NO. ACCOUNT
 01 22 3259 34 15 64824

APPROVALS
 D HOWDESHELL, LINDA
 P DUMMETT, BEVERLY
 A AUTO APPROVE-PROJ. ACCT-4

CK# 588311

7/17/97